

State of California
Business, Transportation and Housing Agency
Department of Transportation

MASS TRANS FINANCIAL MATTERS
STIP Intercity Rail Allocations
Resolution: MFP-02-15
Action Item

Prepared By:
Robert Sertich
Chief
Division of Budgets
(916) 654-4556

CTC Meeting: October 3, 2002

Reference No.: 2.6a.(3)

Original Signed By:
ROBERT L. GARCIA
Chief Financial Officer
October 1, 2002

FINANCIAL ALLOCATION
STATE TRANSPORTATION IMPROVEMENT PROGRAM INTERCITY RAIL PROJECT

RESOLUTION MFP-02-15

RECOMMENDATION

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) approve the following resolution, allocating a total of \$4,000,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, for one (1) State Transportation Improvement Program (STIP) Intercity Rail project on the attached Vote List.

Based on Union Pacific's recommendation, the Department has determined that it will provide a greater and more immediate benefit to deliver the 22 miles of mainline Track Improvements between Ellwood and Gaviota in Santa Barbara County rather than the Grover Beach Siding Improvements in San Luis Obispo County. The proposed project accomplishes the purpose of the Grover Beach project and is more cost effective by improving running time, on time performance and improved ride quality.

FINANCIAL RESOLUTION

Resolved That:

A total of \$4,000,000 in State Highway Account (SHA) funds, and/or Public Transportation Account (PTA) funds, programmed in the 2002 STIP, be allocated to the Recipient for the project on the attached Vote List. The project, as component phases or in their entirety, appear on the necessary State capital projects funding list and are entitled to participate in this allocation.

The Recipient is committed to providing any required local matching funds and to fully fund implementation of the project in compliance with grant funding requirements and Commission policy. For acquisitions of rail right-of-way properties, the Recipient has performed, with diligence, the process of identification and remediation of any hazardous waste in the right-of-way, easements and properties, in accordance with the Commission's established "Hazardous Waste Identification and Clean-up Policy" (Resolution G-91-2).

Reimbursement of eligible costs is subject to the policies, restrictions and assurances as set forth in the Commission's policy for allocating, monitoring, and auditing local assistance grant projects, and is governed by the terms and conditions of the Fund Transfer Agreement (FTA), and subsequent amendments to the same if required, as executed between the Recipient and the California Department of Transportation (Department).

The Recipient shall provide the Department with quarterly expenditure plans by category including any proposed changes for the balance of all funded project allocations commencing with the first quarter.

In the event that the recipient, or successor public entities, fail or cease to utilize the Project's properties for the intended public transit purposes or sell or transfer title of the Project, this Commission shall be entitled to a then present value refund, or credit, at State's option, equivalent to the proportionate funding participation by the State towards the Project. The credit due the Commission in each instance, will be measured by the ratio of State and other public funding to that provided from Recipient. That ratio will be applied to the fair market value of the Project's property.

The Recipient shall provide the Department with notification of contract award within twelve (12) months from the date of this allocation, and must complete the work to be reimbursed and the actual reimbursement within thirty-six (36) months from award of said contract, unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Or, Funds allocated for local project development or right of way costs must be expended by the end of the second year following the fiscal year in which the funds were allocated. For local grant projects, the local agency must invoice Caltrans for these costs no later than 180 days after the fiscal year in which the final expenditure occurred unless the Commission authorizes a waiver that extends, if permitted by statute, the period of availability of the funds.

Attachment



August 28, 2002

Mr. Warren Weber
Chief, Division of Rail
Department of Transportation
State of California
1120 N Street
P. O. Box 942874 - MS 74
Sacramento, California 94274-0001

Re: Capital Improvement Project - Santa Barbara Subdivision

Dear Mr. Weber:

This letter is in reference to contract 75A0184, for the preliminary engineering of Grover Beach Siding and track improvements on the Coast Route. As part of this agreement, the Union Pacific Railroad was requested to evaluate the operational benefits of upgrading the existing Grover Beach siding. Based on our analysis, we have determined that the State of California and Amtrak would receive a greater benefit in terms of reduced running time, improved ride quality and on-time performance if the railroad were to replace all of the jointed rail on the main line between Ellwood and Gaviota.

Through the above stated improvements, which is estimated to cost approximately \$4.2 million, the state will benefit from nearly 22 miles of track with increased speeds and reliability due to new rail, ballast and roadbed improvements and upgrades to the track geometry through the curves.

If the foregoing is acceptable, please arrange for the preparation of appropriate agreement to cover.

As information, Union Pacific forces will be available to perform this work in early October, 2002, therefore your earliest consideration would be appreciated. Also, if Union Pacific is unable to perform the above described work in October, the next earliest opportunity Union Pacific will have to schedule this work will not occur until late Spring, 2003.

Sincerely,

A handwritten signature in cursive script that reads "Jerry Wilmoth".

Jerry Wilmoth
General Manager Network Infrastructure

UNION PACIFIC RAILROAD
10031 Foothills Blvd., Roseville, CA 95747
ph. (916) 789-6360 fx. (916) 789-6171

2.6 Mass Transportation Financial Matters

Project # Allocation Amount Recipient RTPA/CTC District-County Legislative District	2002 STIP ADVANCEMENT Location Project Description Project Funding	EA Dist-PPNO Program / Year Programmed: PA&ED PS&E R/W CONST	Budget Year Item # Program Code	Allocation Amount State Federal Total Amount																														
2.6a(3) Local State Transportation Improvement Program (STIP) Intercity Rail Project				Resolution MFP-02-15																														
1 \$4,000,000 Department of Transportation SBCAG 75-Santa Barbara Senate: 18 Assembly: 35	Santa Barbara County Rail Siding Extension Extend siding between the Surf and Guadalupe Stations. Santa Barbara County Track Improvements Track improvements between Ellwood and Gaviota (22 miles).	R946SA 75-1137 IIP / 05-06 \$300,000 \$0 \$0 \$0 \$3,700,000 \$4,000,000	2002-03 301-0042 301-0890 30.20.020.720	\$4,000,000 -																														
	<table> <tr> <th>FUNDING</th><th>PRIOR</th><th>CURRENT</th><th>FUTURE</th><th>TOTAL</th></tr> <tr> <td>State</td><td>\$0</td><td>\$4,000,000</td><td>\$0</td><td>\$4,000,000</td></tr> <tr> <td>ST-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>Local</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>L-Fed.</td><td>\$0</td><td>\$0</td><td>\$0</td><td>\$0</td></tr> <tr> <td>TOTAL</td><td>\$0</td><td>\$4,000,000</td><td>\$0</td><td>\$4,000,000</td></tr> </table>	FUNDING	PRIOR	CURRENT	FUTURE	TOTAL	State	\$0	\$4,000,000	\$0	\$4,000,000	ST-Fed.	\$0	\$0	\$0	\$0	Local	\$0	\$0	\$0	\$0	L-Fed.	\$0	\$0	\$0	\$0	TOTAL	\$0	\$4,000,000	\$0	\$4,000,000			
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